

Uncover Sensitive Financial Data Across Enterprise Files

Banking, insurance and investment services are expanding digital workflows and AI. Content-level discovery helps extend governance to the documents, archives and correspondence those initiatives depend on.

PROTECT + PROVE	CONNECT + MODERNIZE	GOVERN DATA FOR AI
Identify customer and financial information outside core systems and connect discovery to policy accountability.	Understand sensitive content before repository consolidation, cloud migration and expanded data access.	Classify the enterprise files that may inform assistants, retrieval systems and agentic workflows.

Cross-Industry Finding

Public evidence across financial services points to growing automation and AI use alongside continuing obligations to safeguard customer information.

The ten-organization sample spans banks, investment services and insurers. Their initiatives differ, but they illustrate a common direction: make information easier to use while retaining control over it. ASP's analysis is that governance must reach the file content surrounding core banking, policy administration and investment platforms. Regulatory and industry sources reinforce the importance of that control foundation. [1-13]

ASP Intelligent File Scanner Capabilities Aligned to These Priorities

- Content-level discovery across eight repository types: AWS S3, ADLS, GCS (buckets), Google Drive, OneDrive, SharePoint, SFTP and File Share.
- Inspection across 57 file types and 71 supported extensions, including PDFs, Office files, images, email stores, archives and structured/semi-structured content.
- Recursive archive and attachment inspection, including Outlook PST/OST stores and supported nested archives up to 10 levels, subject to configured safety limits.
- Editable detection rules, precision dictionaries and dependency-aware logic; file-level composite classifications when defined combinations are detected.
- Matched-value evidence supports classification review. Native Google Docs, Sheets and Slides extend discovery across collaboration content.
- Results published to Informatica Cloud Data Governance & Catalog (CDGC), where linked corporate policies and Intelligent Dashboards reveal affected sources, files and classifications.
- Incremental scans, checksums, checkpoints and retry controls support repeatable discovery as repositories change.

Evidence base: a representative sample of U.S. and international financial institutions serving banking, insurance and investment markets; not a size ranking. Public initiatives do not establish control deficiencies or imply an ASP customer relationship. Product scope reflects September 2026. [14]

Evidence Across 10 Leading Financial Services Organizations

These documented signals show how data access, automation and AI are changing financial work. The final column identifies the theme supported by each source; it does not assert that every institution has the same architecture or risks.

Organization	Documented market signal	Recurring theme
JPMorgan Chase	June 2025: LLM Suite reached 200,000 onboarded users within eight months, supporting idea generation and content drafting. [4]	Enterprise AI / productivity
Bank of America	August 2025: Erica surpassed 3 billion interactions, illustrating the scale of AI-enabled client service. This is not evidence of agentic file access. [5]	Digital service / automation
Citi	April 2026: introduced its next phase of AI agents; more than 80% of the 180,000 colleagues with access used Citi AI tools regularly. [6]	Agentic AI / adoption
Morgan Stanley	October 2024: AskResearchGPT helps staff retrieve and summarize insights from proprietary research, with over 70,000 reports produced annually. [7]	Document retrieval / AI
BNY	October 2025: describes enterprise adoption of Eliza and the organizational practices used to expand AI usage. [8]	Enterprise AI / operating model
State Street	2025 annual report: describes generative AI in service, operations and analytics, and agentic AI implementation for multistep processes. [9]	Modernization / AI / controls
HSBC	December 2025: announced a multiyear Mistral AI partnership to expand AI adoption across the bank under its responsible AI approach. [10]	Platform integration / governance
Allianz	November 2025: launched an agentic solution for food-spoilage claims, designed with human oversight. [11]	Claims automation / oversight
Travelers	Current sustainability reporting describes investment in analytics, generative AI, agentic systems and small language models. [12]	Insurance innovation / AI
Prudential	2026 employee-benefits guidance examines myths around AI, reflecting its relevance to benefits administration and employer decisions. [13]	Benefits / AI readiness

Dates identify the cited publication or reporting period. Older announcements establish the documented direction; they are not presented as new September 2026 launches.

Business implication: as financial information becomes easier to retrieve and reuse, the supporting file estate needs consistent sensitive-data discovery and accountable handling decisions.

Three Financial Services Initiatives Creating File-Governance Demand

1. Protect customer information and demonstrate governance

The SEC's 2024 Regulation S-P amendments strengthen safeguards and incident-response requirements for covered institutions, including broker-dealers, investment companies, registered investment advisers and transfer agents. The NAIC model provides a risk-based information-security framework for insurance licensees where adopted; state requirements vary. These sources establish the control need, rather than a universal mandate for a particular scanner. [1-2]

ASP response

- Inspect account-opening packages, lending documents, tax forms, claims evidence and client correspondence across supported repositories.
- Use configured classifications to identify personal, banking and payment information; validate institution-specific patterns with data owners.
- Publish results to CDGC and show linked policy impact across files, classifications and sources.

Business value: a broader inventory for privacy reviews, remediation prioritization and audit evidence. Source-system access controls and incident-response processes implement the resulting protection decisions.

2. Connect and modernize fragmented financial data

Platform integration and automated workflows increase the reuse of enterprise information. State Street describes multistep process automation, while HSBC's AI partnership expands platform capabilities. The file-governance implication is to assess supporting content before changing where it is stored or who can use it. [9-10]

ASP response

- Baseline file shares, collaboration sites and cloud buckets before migration or consolidation.
- Inspect archives, email attachments and supporting spreadsheets that may be missed in a database-only inventory.
- Repeat classification after migration and reconcile scan coverage, exceptions and sensitive-file findings.

Business value: fewer late discoveries and less manual review during migration. Measure assessment hours, unresolved exceptions and rework rather than assuming a fixed savings percentage.

3. Govern the data behind AI and automation

Document retrieval at Morgan Stanley and agentic workflows at Citi and Allianz show why AI governance needs content visibility. The 2024 Bank of England/FCA survey found 75% of its 118 responding firms already used AI; that is a UK survey result, not a global adoption estimate. [3,6-7,11]

ASP response

- Scan the repositories proposed for AI training, retrieval-augmented generation (RAG) or agent access.
- Use classifications and policy context to inform approval, restriction, redaction or exclusion decisions.
- Rescan changed content and route exceptions for review before expanding the approved corpus.

Business value: AI teams gain a clearer basis for selecting usable content. The scanner supplies discovery evidence; identity controls, retrieval filters and AI-platform safeguards enforce access and use.

Combined Alignment

Industry initiative	ASP File Scanner contribution	Business result
Protect + prove	Content-level discovery and classification, with policy relationships visible in CDGC.	More complete evidence for sensitive-data governance.
Connect + modernize	Consistent inspection before and after repository migration or consolidation.	Fewer content blind spots and more focused remediation.
AI + automation	Identify sensitive content in proposed training, retrieval and agent-access repositories.	Better-informed decisions about what AI may use.

Industry Conclusion

Financial institutions are expanding the ways data supports customer service, investment work and insurance operations. The ASP Intelligent File Scanner addresses the unstructured part of that challenge: discovering sensitive content, publishing classifications into CDGC and making linked policies visible. Value comes from using that evidence to guide controls, migration and AI decisions across the file estate.

Sources

- 1. SEC - Regulation S-P amendments protecting customer information, May 16, 2024
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- 4. JPMorganChase - LLM Suite Innovation of the Year, June 3, 2025
- 5. Bank of America - Erica surpasses 3 billion interactions, Aug. 20, 2025
- 6. Citi - Introducing AI Agents, Apr. 30, 2026
- 7. Morgan Stanley - AskResearchGPT, Oct. 23, 2024
- 8. BNY - Unlocking Value with its Enterprise AI Platform, Oct. 20, 2025
- 9. State Street - 2025 Annual Report, Investment Services
- 10. HSBC - Partnership with Mistral AI, Dec. 1, 2025
- 11. Allianz - Agentic AI for claims processing, Nov. 3, 2025
- 12. Travelers - Sustainability Report, Innovation; accessed Sept. 16, 2026
- 13. Prudential - AI in Action: Four myths about AI in employee benefits, 2026
- 14. ASP - Intelligent File Scanner capabilities; September 2026 baseline

Sources substantiate industry signals; capability statements reflect ASP product information. Company examples are illustrative, not endorsements. References are clickable.

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